Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17084-004016

Application No. 10/782,129

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Applicant

Gyula Hadlaczky, et. al

Filing Date February 18, 2004 Group Art Unit 1632

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U.S. Patent Documents

ľ	niner tial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
A		A	4,441,972	4/10/84	Pohi	204	180	4/8/83
		В	4,476,004	10/09/84	Pohl	204	299	10/26/83
		С	4,518,584	5/21/85	Mark et al.	424	85	12/20/83
		D	4,608,339	8/2686	Yoakum et al.	435	172.2	10/25/83
**		E	4,656,134	04/07/87	Ringold	435	91	04/12/85
		F	4,684,611	8/4/87	Schilperoort et al.	435	172.3	7/29/85
		G	4,686,186	8/11/87	Sugden	435	243	9/26/84
		Н	4,736,866	04/12/88	Leder et al.	800	1	06/22/84
		I	4,784,737	11/15/88	Ray et al.	204	180.1	04/18/86
		J	4,801,540	01/31/89	Hiatt et al.	435	172.3	01/02/87
		K	4,806,476	02/21/89	Coons et al.	435	172.2	08/13/85
		L	4,873,191	10/10/89	Wagner et al.	435	172.3	08/18/86
		М	4,873,316	10/10/89	Meade, et al.	530	412	06/23/87
		N	4,906,576	03/06/90	Marshall, III	435	287	05/08/87
		0	4,923,814	05/08/90	Marshall, III	435	173	04/26/89
		Ρ.	4,935,350	06/19/90	Patel et al.	435	69.4	11/18/85
		Q	4,946,952	080/7/90	Kiefer	536	27	04/01/88
·		R	4,955,378	9/11/90	Grasso	128	421	01/17/89
		s	4,970,162	11/13/90	Aksamit	435	240.26	11/13/85
		Т	4,997,764	03/05/91	Dalla Favera	435	240.27	04/23/87
**		U	5,081,018	01/14/92	Grummt et al.	435	69.1	02/13/91
		V	5,019,034	05/28/91	Weaver et al.	604	20	03/20/89
		w	5,021,344	06/04/91	Armau et al.	435	172.3	08/30/85
	\checkmark	х	5,063,162	11/05/91	Kiefer	435	270	05/09/90
	AS	Y	5,118,620	06/02/92	Armau et al.	435	172.3	03/01/91

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t .	blications for Applicant's sclosure Statement	Applicant Gyula Hadlaczky, et. al		
(37 CFR §1.98(b))		Filing Date February 18, 2004	Group Art Unit 1632	

(37 CFR §1.9	8(b))			February 18, 2004 1632			
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AS	Z	5,144,019	9/1/92	Rossi et al.	536	27	6/21/89
	AA	5,149,796	9/22/92	Rossi et al.	536	27	4/30/91
	AB	5,162,215	11/10/92	Bosselman et al.	435	172.3	9/22/88
	AC	5,215,914	6/1/93	Lo et al.	435	253.1	12/2/91
	AD	5,223,263	6/29/93	Hostetler et al.	424	4450	6/28/89
	AE	5,240,840	8/31/93	Feinberg et al.	435	172.3	4/5/91
	AF	5,240,846	8/31/93	Collins et al.	435	240.1	9/18/90
	AG	5,260,191	11/9/93	Yang	435	6	1/30/92
	AH	5,266,600	11/30/93	Tenmyo et al.	514	691	10/30/92
	AI	5,272,262	12/21/93	Rossi et al.	536	23.2	10/19/90
	AJ	5,288,625	2/22/94	Hadlaczky	435	172.2	9/13/91
	AK	5,292,658	3/8/94	Cormier et al.	435	252.33	6/17/93
	AL	5,298,429	3/29/94	Evans et al.	436	501	12/10/91
	AM	5,300,431	04/05/94	Pierce et al.	435	172.3	02/26/91
	AN	5,324,655	6/28/94	Kriegler et al.	435	240.2	2/18/92
	AO	5,354,674	10/11/94	Hodgson	435	172.3	10/29/92
	AP	5,358,866	10/25/94	Mullen et al.	435	240.2	7/3/91
	AQ	5,364,761	11/15/94	Ariga	435	6	11/5/92
	AR	5,387,742	02/07/95	Cordell	800	2	06/17/91
	AS	5,396,767	3/14/95	Suzuki	60	298	2/8/93
·	AT	5,409,810	4/25/95	Larder et al.	435	5	12/1/92
	AU	5,413,914	5/9/95	Franzusoff	435	23	7/7/93
	AV	5,418,155	5/23/95	Cormier et al.	435	189	12/14/93
V	AW	5,424,409	6/13/95	Ely et al.	536	23.71	9/29/89
λα	AX	5,434,086	7/18/95	Collins et al.	436	125	12/9/93

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List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant

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(37 CFR §1.98(b))

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AS	AY	5,434,340	07/18/95	Krimpenfort et al.	800	2	07/27/92
<u>Ab</u> 	AZ	5,436,392	7/25/95	Thomas et al.	800	205	12/21/92
	BA	5,449,604	9/12/95	Schellenberg et al.	435	6	10/21/92
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	BD	5,461,032	10/24/95	Krapcho et al.	514	. 12	3/18/94
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	BF	5,468,634	11/21/95	Liu	435	240.2	1/13/95
	BG	5,470,708	11/28/95	Yang et al.	435	6	4/2/93
	ВН	5,470,730	11/28/95	Greenberg et al.	435	172.3	8/8/94
	BI	5,482,928	1/9/96	De Bolle et al.	514	12	3/10/92
	BJ	5,489,520	2/6/96	Adams et al.	435	172.3	4/26/94
	BK	5,491,075	2/13/96	Desnick et al.	435	69.7	6/17/94
	BL	5,491,283	02/13/96	Groffen et al.	800	2	01/14/93
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	BN	5,501,662	3/26/96	Hofmann	604	20	9/12/94
	во	5,501,967	3/26/96	Offringa et al.	435	172.3	7/6/93
	BP	5,503,999	4/2/96	Jilka et al.	435	172.3	1/3/95
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	BR	5,712,134	01/27/98	Hadlaczky	435	172.2	01/19/95
	BS	5,721,118	02/24/98	Scheffler	435	69.1	10/29/96
	вт	5,721,367	02/24/98	Kay et al.	800	2	06/05/95
	BU	5,891,691	04/06/99	Hadlaczky	435	172.3	10/21/96
V	BV	6,025,155	02/15/00	Hadlackzky et al.	435	69.1	08/07/96
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AS_	BY	0 208 491	01/14/87	A2				
	BZ	0 240 373	10/7/87	EP			X*	
	CA	0 247 494	12/02/87	A2				
	СВ	0 254 315	1/17/88	EP A2, A3			X*	
	СС	0 254 315	1/27/88	EP B1				
	CD	0 264 166	04/20/88	A1				
	CE	0 279 582	08/24/88	A2				
	CF	0 350 052	01/10/90	EP				
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	C1	0 838 526	04/29/98	EPO				
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V	CS	92/17582	10/15/92	PCT				
AS	СТ	93/25567	12/23/93	PCT				

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		n PTO-1449 U.S. Department of Commerce Patent and Trademark Office			1		Application No. 10/782,129		
		d Publications n Disclosure St	for Applicant's	Applicant Gyula Hadlaczky	Applicant Gyula Hadlaczky, et. al				
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	CY	95/14769	06/01/95	PCT					
	CZ	95/20044	07/27/95	PCT					
	DA	95/22297	11/30/95	PCT					
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	DE	97/07668	03/06/97	PCT					
	DF	97/07669	03/06/97	PCT					
	DG	97/16533	05/09/97	PCT					

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** AS	DM	Ascenzioni et al., "Mammalian artifical chromosomes-vectors for somatic gene therapy," Cancer Letters 118:135-142 (1997)							
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	AS	DP	Baker et al., Suppression of huma Science 249:912-915 (1990)	n colorectal carcinoma cell gi	rowth by wild-type p53,		
_		DQ	Barnett <i>et al.</i> , Telomere directed fr Res. 21 (1): 27-36 (1993)	ragmentation of mammalian of	chromosomes, <u>Nucleic Acids</u>		
		DR	Bartholdi, et al., Chromosome sort	ting by flow cytometry, Meth. Enzy., 151:253-267, 1987			
-		DS	Beck von Bodman, et al, "Express Nicotina, Bio/Technology 13:587-5	sion of multiple eukaryotic cells from a single promoter," in 591, (1995).			
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į		DV	Biggin et al., Buffer gradient gels a determination, Proc. Natl. Acad. S	and ³⁵ S label as an aid to rapid DNA sequence Sci. USA, 80:3963-3965 (1983)			
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	DX Blackburn et al. The molecular str Biochem., 53:163-194 (1984)			ructure of centromeres and te	lomeres, Ann. Rev.		
		DY	Blackburn <i>et al.</i> , BOOK: <u>Telomere</u> Laboratory Press, pp. 371-387 (19	<u>s</u> , Chapter 13, "Plant Telome 95)	res", Cold Spring Harbor		
		DZ	Blattner et al., Charon phages: Sa Science 196:16 (1977)	afer derivatives of bacteriophage lambda for DNA cloning,			
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	EE	Bower, Constructing a fully defined 4th Eur. Congress Biotechnol. 3:5		loning a centromere, Proc.
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	EN	Bühler <i>et al.</i> , Rabbit β-Casein Pror Milk of Transgenic Rabbits, <u>Bio/Te</u>		man Interleukin-2 into the
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	ЕР	Burhans and Huberman, DNA repl Science 263: 639-640 (1994)	lication origins in animal cells	- a question of context?
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Exar	niner	Desig.	ocuments (include Author,	Title, Date, and Place o	r Publication)
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		ET	Carine et al., Chinese hamster cel of human chromosome 1, Somatic		
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		EV	Carrano and Wolff, Distribution of sister chromatid exchanges in the euchromatin and heterochromatin of the Indian muntjac, <i>Chromosoma</i> 53: 361-369 (1975)		
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Substitute For (Modified)	m PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17084-004016	Application No. 10/782,129
List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Gyula Hadlaczky, et. al	
(37 CFR §1.98	B(b))		Filing Date February 18, 2004	Group Art Unit 1632
		ocuments (include Author, 1	Title, Date, and Place o	f Publication)
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	FV	Crystal, Transfer of Genes to Hum 270:404-410 (1995).	ans: Early Lessons and Obs	tacles to Success, Science
	FW	Current state of the art, Chromos I (available at http://www.chromos.c		elease (May 29, 1996)
;	FX	Cuthbert et al., Construction and charaterization of a highly stable human:rodent monochromosomal hybrid panel for genetic complementation and genome mapping studies, Cytogenet Cell Genet 71:68-76 (1995).		
	FY	Cutler, Electroporation: Being developed to transform crops, <i>Ag Biotechnology News</i> 7:3 (September/October 1990)		
	FZ	Database WPI Derwent Abstract 199442, citing WO 9424300, Transposition construct for introducing genes into eukaryotic cell genome.		
	EA	Dausset et al., "The CEPH YAC Library", Behring Inst. Mitt., 91:13-20 (1992)		
	·EB	Davidson et al., Improved techniques for the induction of mammalian cell hybridisation by polyethylene glycol, Somatic Cell. Genet. 2:165-176 (1976)		
	EC	Dean et al. Multiple mutations in highly conserved residues are found in mildly affected cystic fibrosis patients, Cell 61:863-870 (1990)		
	ED	deJong <i>et al.</i> , Mammalian artificial isolation of functional satellite DNA (1999)		
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	EI	Dieken, et al., "Efficient modification proficient chicken/human microcel	on of human chromosomal all	
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	EL	Drohan, Transgenic Animals: "Great and Small," <u>Journal of Cellular Biochemistry</u> 49:111-112 (1992).			
	EM	Dunckley et al., Retroviral-mediated transfer of a dystrophin minigene into mdx mouse myoblasts in vitro, FEBS Lett. 296:128-34 (1992)			
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	ЕР	Eissenberg and Elgin, Boundary functions in the control of gene expression, <u>Trends in Genet.</u> , <u>7</u> (10):335-340, 1991			
	EQ	Erlich et al., Recent advances in the polymerase chain reaction, Science 252:1643-1651 (1991)			
	ER	Etches, et al., "Chimeric chickens and their use in manipulation of the chicken genome", Poultry Sci. 72:882-889, (1993).			
	ES	Fangman and Brewer, A question of time: replication origins of eukaryotic chromosomes, Cell 71: 363-366 (1992)			
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	EU	Farr, Mammalian telomeres and chromosome fragmentation, <u>Cell Devtl. Biol. 7</u> : 41-48 (1996)			
	EV	Farrel <i>et al.</i> , p53 is frequently mutated in Burkitt⊟s lymphoma cell lines, <i>EMBO J. 10</i> :2879-2887 (1991)			
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		FA	Fournier, A general high-efficiency Natl. Acad. Sci.USA 78:6349-6353		microcell hybrids, <i>Proc.</i>	
		FB	host disease and facilitats fully allo	Is of th2 cytokine phenotype reduce lethal graft versus ogenetic cell transfers in sublethally irradiated mice", and Processing: Fourth International Symposium, p.		
		FC	Frary et al., "Molecular mapping of Gen. Genet., <u>250</u> :295-304 (1996)			
FD Frasier, et al., "Efficient incorporation of tr chicken embryos", Int. J. Dev. Biol. 37:38			al cells into chimeric			
			roviral vector incorporating mouse VL30 retrotransposon- sequences, <i>Anal. Biochem. 228</i> :354-355 (1995)			
	-	FF	Frohman and Martin, Cut, paste, a mice, <i>Cell</i> 56:145-147 (1989)	and save: new Approaches to altering specific genes in		
		FG	Fromm et al., Expression of genes electroporation, Proc. Natl. Acad.	es transferred into monocot and dicot plant cells by J. Sci.USA 82:5824-5828 (1985)		
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**		FJ	Gage, F.H., "Cell Therapy," Nature	392:18-24 (1998)		
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\	/	FM	Giraldo et al., "Size matters: use o Transgenic Res., 10:83-103 (2001		ransgenic animals",	
	AS	FN	Gluzman, SV40-transformed simia Cell 23:175-182 (1981)	an cells support the replication	n of early SV40 mutants,	

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	FP	Gonzalez and Sylvester, Complete Analysis of the intergenic space, G		an ribosomal DNA repeat:	
	FQ	Goodfellow et al., Techniques for representation of the Practical Approach, K.E. Davies, etc.			
	FR	Gordon et al., Genetic transformat Proc. Natl. Acad. Sci. USA 77(12):		croinjection of purified DNA,	
	FS	Gordon <i>et al.</i> , Production of Huma Milk, <u>Bio/Technology</u> 5:1183-1187		tor in Transgenic Mouse	
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	GB	Gunning et al., A human β-actin expression vector system directs high-level accumulation of antisense transcripts, <i>Proc. Natl. Acad. Sci.USA 84</i> :4831-4835 (1987)			
\bigvee	GC	Haaf et al., Integration of Human of protein binding and disruption of n			
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GF mammalian artificial chromosomes, Abs		s, Abstract from Internationa netic Disorders, Trieste (Italy	artificial chromosomes: Introduction of novel genes into Abstract from International Symposium on Gene etic Disorders, Trieste (Italy) (April 10-13, 1996) om/contents.html)			
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		GP	Handeli et al., Mapping replication	units in animal cells, Cell 5	7 909-920 (1989)	
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	/	GS	Harrington, et al., Formation of de human artifical microchromosome			
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Gyula Hadlaczky et al.

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1	MADEMARIE	7		U.S. Pate	nt Documents				
	Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	AS	AA	5270201	12/14/93	Richards et al.	435	240.4	03/30/92	
	AS	AB	5695967	12/09/97	Van Bokkelen et al.	435	91.1	06/07/95	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AC	NONE						
	AD							

Examiner	Desig.	
Initial	ID	Document
AS	AE	Adam et al., "Retrofitting YACs for direct DNA transfer into plant cells", Plant Journal 11:1349-1358 (1997)
AS	AF	Brown et al., "Artificial chromosomes: ideal vectors?", TIBTECH 18:218-223 (2000)
AS	AG	Szakal B, Cserpan I, Csonka E, Monostori E, Udvardy A, Hadlaczky G, "Cloning, characterization and localization of Chinese hamster HP1 isoforms", <i>Chromosome Res.12(5)</i> :483-93 (2004)
AS	АН	Zabel et al., "Towards the construction of artificial chromosomes for tomato", NATO ASI Series, Series A: Life Sciences (Mol. Form Funct. Plant Genome) 83:609-624 (1985)

Examiner Signature	Date Considered			
/Anoop Singh/	08/22/2006			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 600: Draw line through citation if not in				